



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Guillermo LAO et al. ) Group Art Unit: 2171  
Serial No. 10/046,695 ) Attorney Docket No. 111325-43  
Filed: January 17, 2002 )  
For: Methods and Apparatus for Managing ) Date: May 15, 2002  
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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

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Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

The undersigned certifies that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office, in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement.

It is requested that the accompanying information disclosure statement be considered and made of record in the above-captioned application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,



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Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/046,695
				Filing Date	January 17, 2002
				First Named Inventor	Guillermo LAO et al.
				Group Art Unit	2171
				Examiner Name	unknown
				Attorney Docket Number	111325-43
Sheet	1	of	1		

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		McHugh, J. et al., Computers & Security 07 (1988) 387-396.	
		PCT International Search Report, Date of Mailing April 11, 2002, 6 pages.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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